

06-22-00

06/20/00
 Jc820 U.S. PTO

THE COMMISSIONER OF PATENTS AND TRADEMARKS
 Washington D.C. 20231
 Box Patent Applications

Case Docket No. FUJR 17.355
 Filed by Express Mail
 (Receipt No. EL522413705US)
 on June 20, 2000
 pursuant to 37 CFR 1.10
 by Lydia Gonzalez

Jc813 U.S. PTO
 09/597935
 06/20/00

S I R:

Transmitted herewith for filing is: ☒ a new application
☐ a c-i-p application of S.N. _____ filed _____

Inventor(s): Tatsuya WAKUTA; Hitoshi KOIKE; Ryuichi SATO

For: **METHOD AND APPARATUS FOR PERFORMING HANDOFF BY VARYING THRESHOLD LEVEL**

Enclosed are:

- ☒ 10 sheets of drawings.(Figs. 1-10)
☒ Specification, including claims and abstract (48 pages)
☒ Declaration
☒ An assignment of the Invention to FUJITSU LIMITED
☒ A certified copy of Japanese Application No(s). 11-248335
☒ An associate power of attorney
☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27
☒ Post card
☒ Recording fee (as indicated below)
☒ Information Disclosure Statement, PTO-1449, copies of 2 references
☐ Other _____
☐ Other _____

	Col. 1	Col. 2
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	37-20 =	17
INDEP CLAIMS	9-3 =	6
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED		

*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

SMALL ENTITY	
RATE	FEE
	\$345
x 9 =	\$
x 39 =	\$
x 130 =	\$
TOTAL	\$

OTHER THAN A SMALL ENTITY	
RATE	FEE
	\$690
x 18 =	\$306
x 78 =	\$468
x 260 =	\$
TOTAL	\$1464

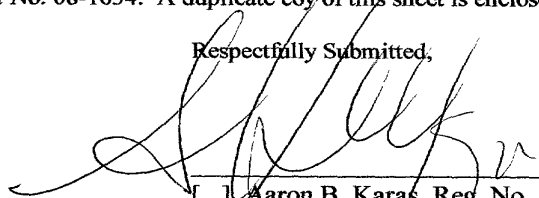
☐ Please charge our Deposit Account No. 08-1634 the amount of _____ to cover the filing fee and recording fee (if any)

☒ A check in the amount of \$1504.00 to cover the filing fee and the recording fee (if any) is enclosed.

☒ The Commissioner is hereby authorized to charge payment of any fee associated with this communication or credit overpayment to Deposit Account No. 08-1634. A duplicate copy of this sheet is enclosed.

Helfgott & Karas, P.C.
 60th Floor
 Empire State Building
 New York, New York 10118-6098
 (212)643-5000

Respectfully Submitted,



Date: 6/20/00

Any fee due with this paper, not fully covered by an enclosed check, may be charged on Deposit Acct No. 08-1634

- ☐ Aaron B. Karas, Reg. No. 18,923
☒ Samson Helfgott, Reg. No. 23,072
☐ Leonard Cooper, Reg. No. 27, 625
☐ Linda S. Chan, Reg. No. 42,400
☐ Jacqueline M. Steady, Reg. No. 44,354
☐ Harris A. Wolin, Reg. No. 39,432

communication networks.

In step S40, the mobile station receives a predetermined handoff threshold from a wireless base station currently controlling the mobile station, which
5 is hereinafter called a currently controlling wireless base station.

In step S41, the mobile station constantly searches for a reference signal from each of at least one other wireless base station.

10 In step S42, the mobile station compares the monitored reference signal with the above handoff threshold. When the level of the monitored reference signal exceeds the above handoff threshold, the operation goes to step S43. When the level of the
15 monitored reference signal does not exceed the above handoff threshold, the operation goes to step S41. Conventionally, the hand-off threshold is a constant.

In step S43, the mobile station sends a report signal to the currently controlling wireless base
20 station, where the report signal indicates that there is a candidate for a substitute wireless base station to which control of the mobile station is to be handed off.

In step S44, when the currently controlling wireless base station receives the report signal from
25 the mobile station, and confirms validity of the contents of the report signal, the currently controlling wireless base station instructs the candidate for the

substitute wireless base station to secure resources, such as a traffic channel, for use in control of the mobile station.

000290" 52625540
In step S45, the currently controlling wireless
5 base station sends to the mobile station an over-the-air message through a traffic channel or a control channel, where the over-the-air message instructs the mobile station to hand off the control to the substitute wireless base station, and includes information such as
10 frequencies and spreading codes to be used between the mobile station and the substitute wireless base station.

In step S46, when the mobile station receives the over-the-air message, the mobile station switches a communication channel from the currently controlling
15 wireless base station to the substitute wireless base station.

In step S47, a communication path is established between the mobile station and the substitute wireless base station. Thus, the operation for achieving a
20 handoff is completed.

However, the conventional handoffs as described above have the following drawbacks.

Fig. 9 is a diagram illustrating an example of a situation in which the handoff is liable to fail. In the
25 situation of Fig. 9, the wireless base stations 100 and 200 are arranged in the areas C1 and C2, respectively. The mobile station MS is currently connected to the

000290" 5E62560

wireless base station 100, and is moving toward the wireless base station 200. However, if the handoff threshold so high that the level of the reference signal from the wireless base station 200 exceeds the handoff threshold in the vicinity of the boundary of the area C1, it is probable that quality (quality of the wireless link, received field strength, and the like) of a wireless signal received from the currently controlling wireless base station to the mobile station MS deteriorates. Therefore, the over-the-air message from the currently controlling wireless base station may not be successfully received by the mobile station, and thus the handoff may not succeed. In addition, when the moving speed of the mobile station is high, the probability of failure in the handoff is further increased.

In some regions in the service area of a mobile communication network, a complex boundary may be formed between different areas covered by different wireless base stations, due to fading or shadowing. When a mobile station moves in such regions, an unnecessarily great number of handoffs may be performed.

Fig. 10 is a diagram illustrating an example of a situation in which a mobile station MS moves through a region in which a complex boundary is formed between different areas C1' and C2' respectively covered by different wireless base stations 100' and 200'. As

illustrated by arrows in Fig. 10, the mobile station MS crosses the complex boundary four times. In this situation, the levels L1 of the reference signal from the wireless base station 100' at the points P1, P3, and P5 are greater than the handoff threshold TH, and the levels L2 of the reference signal from the wireless base station 200' at the points P2 and P4 are also greater than the handoff threshold TH. Therefore, when the mobile station MS moves through the path indicated by the arrows in Fig. 10, the handoffs are performed unnecessarily frequently.

SUMMARY OF THE INVENTION

An object of the present invention is to provide a method of handing off a mobile station in a mobile communication system including at least one mobile station and at least two wireless base stations, whereby the probability of success in a handoff is enhanced, and a stable call condition is maintained.

Another object of the present invention is to provide a mobile communication system including at least one mobile station and at least two wireless base stations, wherein the probability of success in a handoff is enhanced, and a stable call condition is maintained.

A further object of the present invention is to provide a wireless communication control apparatus

provided in a mobile communication system including at least one mobile station and at least two wireless base stations, whereby the probability of success in a handoff is enhanced, and a stable call condition is maintained.

(1) According to the first aspect of the present invention, there is provided a method of handing off a mobile station in a mobile communication system including first and second wireless base stations, comprising the steps of: (a) varying a handoff threshold which is set in the mobile station, according to quality of a wireless link between the mobile station and the first wireless base station which currently controls the mobile station; and (b) handing off the mobile station from the first wireless base station to the second wireless base station, based on the handoff threshold.

(2) According to the second aspect of the present invention, there is provided a mobile communication system comprising at least one mobile station and at least two wireless base stations. Each of the at least two wireless base stations comprises a handoff threshold varying unit which varies a handoff threshold which is set in one of the at least one mobile station, according to quality of a wireless link between the mobile station and the wireless base station which currently controls the mobile station, and a handoff processing unit which executes processing for handing off the mobile station

from the wireless base station to another of the at least two wireless base stations, based on the handoff threshold.

Instead of being included in each of the at least two wireless base stations, the handoff threshold varying unit and the handoff processing unit may be included in each mobile station, or in a mobile switching center provided in the mobile communication system.

(3) According to the third aspect of the present invention, there is provided a wireless communication control apparatus comprising: a handoff threshold varying unit which varies a handoff threshold which is set in a mobile station, according to quality of a wireless link between the mobile station and a wireless base station which currently controls the mobile station, and a handoff processing unit which executes processing for handing off the mobile station from the wireless base station to another wireless base station, based on the handoff threshold.

The wireless communication control apparatus according to the third aspect of the present invention may also have one or any possible combination of the following additional features (i) to (vii).

(i) The handoff threshold varying unit may vary the handoff threshold on a real-time basis.

(ii) In addition to the feature (i), the wireless

communication control apparatus may further comprise a quality obtaining unit which obtains, before performing the operation of the handoff threshold varying unit, information on the quality of the wireless link between the mobile station and the wireless base station which
5 currently controls the mobile station.

(iii) The wireless communication control apparatus according to the third aspect of the present invention may further comprise a quality-versus-threshold table
10 which indicates a relationship between the handoff threshold and the quality.

(iv) The handoff threshold varying unit may lower the handoff threshold when the quality of the wireless link drops below a predetermined level.

(v) The wireless communication control apparatus according to the third aspect of the present invention may further comprise a handoff limiting unit which stops the processing for handing off the mobile station to the said another wireless base station when a frequency of
15 handoffs of the mobile station to the said another wireless base station exceeds a predetermined frequency.

(vi) In addition to the feature (v), the handoff limiting unit may raise the handoff threshold when the handoff limiting unit stops the processing for handing
25 off the mobile station.

(vii) In addition to the feature (vi), the handoff processing unit may executes the processing for

achieving the handoff, when the mobile station receives from the said another wireless base station a reference signal having quality exceeding the handoff threshold raised by the handoff limiting unit.

5 The wireless communication control apparatus according to the third aspect of the present invention may be included in each wireless base station, each mobile station, or a mobile switching center.

10 (4) According to the fourth aspect of the present invention, there is provided a product for use with a wireless communication control apparatus. When used with the wireless communication control apparatus, the product is able to output control information which directs the wireless communication control apparatus to
15 comprise a handoff threshold varying unit which varies a handoff threshold which is set in a mobile station, according to quality of a wireless link between the mobile station and a wireless base station which currently controls the mobile station, and a handoff
20 processing unit which executes processing for handing off the mobile station from the wireless base station to another wireless base station, based on the handoff threshold.

25 The product according to the fourth aspect of the present invention may have one or any possible combination of the aforementioned additional features from (i) to (vii).

000290" 3E6/6560

(5) According to the above first to fourth aspects of the present invention, the handoff threshold can be varied according to the quality of the wireless link between the mobile station and the currently controlling base station so that a sequence of messages necessary for achieving a handoff can be transmitted between the mobile station and the currently controlling base station before the quality of the wireless link deteriorates too greatly. Therefore, the probability of success in the handoff can be enhanced, and thus it is possible to maintain a stable call condition.

In addition, when a relationship between the quality deterioration and the handoff threshold is prepared in advance in the form of a quality-versus-threshold table (as in the above additional feature (iii)), the handoff threshold value set in the mobile station can be quickly varied by using the quality-versus-threshold table.

Further, when an identical handoff which is requested after the number of identical handoffs reaches, within a predetermined time, a predetermined number is cancelled (as in the above additional feature (v)), it is possible to limit the number of identical handoffs occurred within a predetermined time, even when the mobile station moves in a region in which at least one complex boundary of areas covered by different wireless base stations exists. In addition, the possibility that

the level of the reference signal received from another wireless base station exceeds the handoff threshold is further decreased by raising the handoff threshold which is set in the mobile station when the handoff is cancelled (as in the above additional feature (vi)). Thus, in this case, the frequency of handoffs is further decreased.

Consequently, according to the present invention, both of the frequency of handoffs and the frequency of failures in handoff are decreased, and it is therefore possible to provide stable service to users.

The above and other objects, features and advantages of the present invention will become apparent from the following description when taken in conjunction with the accompanying drawings which illustrate preferred embodiment of the present invention by way of example.

BRIEF DESCRIPTION OF THE DRAWINGS

In the drawings:

Fig. 1 is a diagram illustrating a simplified configuration of the mobile communication system according to the second aspect of the present invention, provided for explaining the basic principle of the first aspect of the present invention, and Fig. 1 also shows the basic construction of the wireless communication control apparatus according to the third aspect of the

present invention;

Fig. 2 is a diagram illustrating an example of a quality-versus-threshold table used in an embodiment of the present invention;

5 Fig. 3 is a flow diagram illustrating the basic steps of the method of handing off a mobile station, executed in a wireless base station according to the first aspect of the present invention;

10 Fig. 4 is a flow diagram illustrating an example of an operation performed for limiting the number of identical handoffs;

Fig. 5 is a diagram illustrating an example of a number-of-handoffs table 15 used in the operation of Fig. 4;

15 Fig. 6 is a timing diagram of an example of the operation of Fig. 4;

Fig. 7 is a diagram illustrating a hardware construction of a CDMA wireless base station as an example of a wireless base station including the
20 wireless communication control apparatus 10;

Fig. 8 is a flow diagram illustrating a typical one of the conventional operations for achieving a handoff;

25 Fig. 9 is a diagram illustrating an example of a situation in which the handoff is liable to fail; and

Fig. 10 is a diagram illustrating an example of a situation in which a mobile station MS moves through a

region in which a complex boundary is formed between different areas C1' and C2' respectively covered by different wireless base stations 100' and 200'.

5 DESCRIPTION OF THE PREFERRED EMBODIMENTS

Embodiments of the present invention is explained below with reference to drawings.

(1) Basic Construction

Fig. 1 is a diagram illustrating a simplified
10 configuration of the mobile communication system according to the second aspect of the present invention, provided for explaining the basic principle of the first aspect of the present invention, and Fig. 1 also shows the basic construction of the wireless communication
15 control apparatus according to the third aspect of the present invention, which is provided in the mobile communication system for executing the method according to the first aspect of the present invention. The method of handing off a mobile station according to the first
20 aspect of the present invention is performed in a wireless communication system which includes more than two wireless base stations and at least one mobile station.

In the construction of Fig. 1, each of the
25 wireless base stations 1 and 2 contains a wireless communication control apparatus 10, which comprises a handoff threshold varying unit 11, a handoff processing

executing unit 12, a quality-versus-threshold table 13,
and a handoff limiting unit 14. Although the wireless
communication control apparatus 10 in Fig. 1 is
contained in each of the wireless base stations 1 and 2,
5 the wireless communication control apparatus 10 may be
contained in each mobile station MS or a mobile
switching center (not shown).

The handoff threshold varying unit 11 varies a
handoff threshold which is set in each mobile station MS,
10 according to a quality level of a wireless link between
the mobile station MS and the wireless base station
which controls the mobile station MS. In particular, in
the case where the wireless communication control
apparatus 10 is provided in each wireless base station,
15 the handoff threshold varying unit 11 varies a handoff
threshold set in each mobile station MS under control of
the wireless base station 1 which the handoff threshold
varying unit 11 belongs to, according to a quality level
of a wireless link between the mobile station MS and the
20 wireless base station. For example, the quality level of
the wireless link includes an error rate and the
received field strength. The handoff threshold varying
unit 11 may lower the handoff threshold value which is
set in a mobile station when the quality level of the
25 wireless link between the wireless base station 1 and
the mobile station drops below a predetermined level.
The handoff processing executing unit 12 performs an

operation which is necessary to achieve a handoff. The quality-versus-threshold table 13 is a table which holds handoff threshold values corresponding to the quality levels of the wireless link. The handoff limiting unit 5 14 stops an operation of achieving a handoff when the frequency of handoffs of an identical mobile station to an identical substitute wireless base station which have occurred exceeds a predetermined frequency.

In addition, the handoff threshold varying unit 11 10 may raise the handoff threshold which is set in a mobile station MS, when the handoff limiting unit 14 stops the operation of achieving the handoff of the mobile station MS. In this case, when the mobile station receives from another wireless base station a reference signal having 15 a level above the handoff threshold, the handoff processing executing unit 12 performs an operation which is necessary to achieve a handoff.

(2) Principle and Operation

The principle and operation of the present 20 invention are explained below.

Each mobile station searches for at least one reference signal transmitted from at least one wireless base station. When one of the at least one mobile station finds that the level of one of the at least one 25 reference signal exceeds the predetermined handoff threshold, the mobile station sends a request for a handoff, to a wireless base station which currently

controls the mobile station. In the example illustrated in Fig. 1, the wireless base station 1 currently controls the mobile station MS, and the mobile station MS is moving toward the wireless base station 2.

5 When the handoff threshold is initially set at a relatively low level, e.g., at the level TH0 as illustrated in Fig. 1, the mobile station MS can detect that the level of the reference signal L2 received from the wireless base station 2 exceeds the initial handoff
10 threshold TH0, at a position at which the level of the reference signal L1 received from the wireless base station 1 is still high, and the level of the reference signal L2 received from the wireless base station 2 is still low. Therefore, in this case, the over-the-air
15 message transmitted from the wireless base station 1 can reach the mobile station MS with sufficiently high quality. Thus, the over-the-air message transmitted from the wireless base station 1 can be successfully received by the mobile station MS with high probability, and it
20 is very likely that the handoff operation succeeds.

 When the handoff threshold is initially set at a relatively high level, e.g., at the level TH1 as illustrated in Fig. 1, the level of the reference signal L1 received from the wireless base station 1 is low, and
25 the level of the reference signal L2 received from the wireless base station 2 is high, at the position at which the mobile station MS can detect that the level of

the reference signal L2 received from the wireless base station 2 exceeds the initial handoff threshold TH1. Therefore, in this case, it is not probable that the over-the-air message transmitted from the wireless base station 1 can reach the mobile station MS with sufficiently high quality, and the handoff operation is liable to fail.

Taking the above characteristic into consideration, according to the present invention, the wireless communication control apparatus 10 monitors the quality of the wireless link between the mobile station MS and the wireless base station which currently controls the mobile station MS. The quality of the wireless link deteriorates when the mobile station MS moves away from the wireless base station which currently controls the mobile station MS. When the wireless communication control apparatus 10 detects deterioration of the quality of the wireless link between the mobile station MS and the wireless base station which currently controls the mobile station MS, the handoff threshold varying unit 11 lowers the handoff threshold which is set in the mobile station MS. The lowered handoff threshold is such that the mobile station MS can receive the reference signal transmitted from the wireless base station which currently controls the mobile station MS, with sufficiently high quality at the position at which the mobile station MS detects that the level of a

reference signal received from another wireless base station exceeds the lowered handoff threshold. Thus, the wireless communication control apparatus 10 lowers the handoff threshold which is set in the mobile station MS.

5 For example, the threshold varying unit 11 lowers the handoff threshold which is set in the mobile station MS, from the value TH1 to the value THa as illustrated in Fig. 1.

Due to the above operation of the handoff
10 threshold varying unit 11, the mobile station MS can receive signals (such as the over-the-air message) from the wireless base station which currently controls the mobile station MS, with sufficiently high quality, even when the initial hand-off threshold set in the mobile
15 station is so high that the position at which the mobile station detects that the level of a reference signal received from another wireless base station exceeds the lowered handoff threshold is near the boundary of the area covered by the wireless base station which controls
20 the mobile station. In addition, the mobile station MS can also receive signals (such as the over-the-air message) from the wireless base station which currently controls the mobile station MS, with sufficiently high quality, even when the mobile station MS approaches the
25 boundary at high speed. Thus, the probability of success in the operation for achieving a handoff can be enhanced.

When the handoff threshold varying unit 11 lowers

the handoff threshold, the quality-versus-threshold table 13 may be used. Fig. 2 is a diagram illustrating an example of the quality-versus-threshold table used in the embodiment of the present invention. The quality-versus-threshold table 13 of Fig. 2 contains values of the handoff threshold 13c corresponding to reverse-link quality drop values 13a and forward-link quality drop values 13b, which represent, in percentage, amounts of quality drop on the reverse and forward links, respectively. The values of the handoff threshold 13c in the quality-versus-threshold table 13 of Fig. 2 are target values of the handoff threshold, and represented in dBm. In the example of Fig. 2, the initial value of the handoff threshold is 2.5 dBm, which is the handoff threshold when the reverse-link quality drop values 13a and the forward-link quality drop values 13b are 0.0%. The quality of the wireless link between the mobile station MS and the wireless base station deteriorates with increase in the distance between the mobile station MS and the wireless base station. As illustrated in Fig. 2, the values of the handoff threshold 13c indicated in the quality-versus-threshold table 13 are determined so as to be lowered with increase in the amount representing quality drop in the reverse and forward links.

Thus, the handoff threshold value which is set in the mobile station MS can be efficiently and adaptively

varied on a real-time basis according to the circumstances by using the quality-versus-threshold table 13.

Although the quality-versus-threshold table 13 in Fig. 2 contains both of the reverse-link quality drop values 13a and the forward-link quality drop values 13b, it is possible to separately provide a reverse-link-quality-versus-threshold table and a forward-link-quality-versus-threshold table, where the reverse-link-quality-versus-threshold table contains the values of the handoff threshold 13c corresponding to the reverse-link quality drop values 13a, and the forward-link-quality-versus-threshold table contains the values of the handoff threshold 13c corresponding to the forward-link quality drop values 13b.

Fig. 3 is a flow diagram illustrating the basic steps of the method of handing off a mobile station, according to the first aspect of the present invention.

In step S30, a handoff threshold which is set in the mobile station is varied according to quality of a wireless link between the mobile station and the wireless base station which controls the mobile station.

In step S31, processing for achieving a handoff is performed based on the handoff threshold varied In step S30. When the operation for achieving a handoff is completed, the handoff threshold which is set in the mobile station is returned to the initial value.

(3) Limit of Frequent handoffs

The operation of the handoff limiting unit 14 is explained below with reference to Fig. 4. Fig. 4 is a flow diagram illustrating an example of an operations performed for limiting the number of identical handoffs, in which control of an identical mobile station is transferred from an identical currently controlling wireless base station to an identical substitute wireless base station.

10 In step S0, the handoff limiting unit 14 determines whether or not a request for a handoff is received from a mobile station. When yes is determined, the operation goes to step S1. When no is determined, the operation goes to step S9.

15 In step S1, the handoff limiting unit 14 registers in a database a base station number of the wireless base station which the handoff limiting unit 14 belongs to, a base station number of a substitute wireless base station to which the mobile station is to be handed off, and a received field strength of a reference signal transmitted from the substitute wireless base station.

20 In step S2, the handoff limiting unit 14 determines whether or not the number of handoff which have occurred to the same combination of the above substitute wireless base station and the above mobile station is more than or equal to a predetermined number. When yes is determined, the operation goes to step S3.

When no is determined, the operation goes to step S5.

In step S3, the handoff limiting unit 14 cancels the request for the handoff which is received in step S1.

In step S4, the handoff limiting unit 14 raises a
5 handoff threshold which is set in the above mobile station, and the operation goes back to step S0.

In step S5, the wireless communication control apparatus 10 (the handoff processing executing unit 12) performs an operation which is necessary to achieve a
10 handoff.

In step S6, when the above handoff succeeds, the handoff limiting unit 14 increments a number which is registered as the number of handoffs which have occurred to the combination of the above substitute wireless base
15 station and the above mobile station.

In step S7, the handoff limiting unit 14 determines whether or not the above registered number is one, i.e., whether or not the handoff has occurred for the first time. When yes is determined, the operation
20 goes to step S8. When no is determined, the operation goes to step S9.

In step S8, the handoff limiting unit 14 starts a timer.

In step S9, the handoff limiting unit 14
25 determines whether or not the timer expires. When yes is determined, the operation goes to step S10. When no is determined, the operation goes back to step S0.

substitute wireless base station, the operation for achieving a handoff is performed in step S5 in Fig. 4, and the registered number of handoffs which have occurred to the combination of the substitute wireless base station and the mobile station is incremented by one in step S6 in Fig. 4, where the registered number of handoffs which have occurred to each combination is initially zero.

At time t22, the timer is started in step S8 in Fig. 4.

At time t23, the second request for a handoff to the same substitute wireless base station is received from the same mobile station, an operation for achieving the handoff is performed in step S5 in Fig. 4, and the registered number of handoffs which have occurred to the same combination of the substitute wireless base station and the mobile station is incremented by one in step S6 in Fig. 4.

At time t24, the third request for a handoff to the same substitute wireless base station is received from the same mobile station, an operation for achieving the handoff is performed in step S5 in Fig. 4, and the registered number of handoffs which have occurred to the same combination of the substitute wireless base station and the mobile station is further incremented by one in step S6.

At time t25, the fourth request for a handoff to

the same substitute wireless base station is received from the same mobile station. Since the upper limit (the predetermined number) of the number of the handoffs is three, the third request for a handoff is canceled in
5 step S3 in Fig. 4. That is, the registered number of handoffs which have occurred to the above combination of the substitute wireless base station and the mobile station is not incremented.

At time t26, the timer expires, and the handoff
10 limiting unit 14 erases the information registered in the database for the above combination of the substitute wireless base station and the mobile station, in step S10 of Fig. 4. That is, the base station number of the wireless base station which the handoff limiting unit 14
15 belongs to, the base station number of the substitute wireless base station to which the mobile station is to be handed off, the received field strength of the reference signal transmitted from the substitute wireless base station, and the registered number of
20 handoffs which have occurred to the combination of the substitute wireless base station and the mobile station are erased.

At time t27, the handoff limiting unit 14 receives, from a mobile station, a new request for a handoff of
25 the mobile station from a wireless base station which currently controls the mobile station to a substitute wireless base station. Thereafter, similar operations

are performed in accordance with Fig. 4.

As described above, in the example of Fig. 4, the handoff limiting unit 14 stops an operation for achieving a requested handoff when the number of the identical handoffs which have occurred to an identical mobile station and an identical substitute base station within a predetermined time exceeds a predetermined number. Therefore, even when the mobile station MS moves in a region in which at least one complex boundary exists, as indicated by the arrows in Fig. 10, the number of handoffs can be limited by the handoff limiting unit 14.

(4) Hardware Construction

Fig. 7 is a diagram illustrating a hardware construction of a CDMA wireless base station as an example of a wireless base station including the wireless communication control apparatus 10 according to the present invention. The CDMA wireless base station 1a of Fig. 7 includes a base station transceiver subsystem (BTS) 20, a base station controller (BSC) 30, and a mobile switching center (MSC) 40.

The base station transceiver subsystem (BTS) 20 is provided for realizing an air interface, and transmits and receives over-the-air signals. The mobile switching center (MSC) 40 provides interconnection services among mobile stations, and interconnection services with other landline networks. The base station controller (BSC) 30

functions as an interface between the base station transceiver subsystem (BTS) 20 and the mobile switching center (MSC) 40, controls connection and disconnection of calls, and performs protocol conversion (e.g.,
5 conversion between the IS-95A protocol and the A+ protocol).

The base station transceiver subsystem (BTS) 20 comprises a plurality of modem channel cards (MDCs) 21, a BTS controller 22, a transmitter receiver (TRX) 23,
10 and a high power amplifier (HPA) 24.

The modem channel cards (MDCs) 21 perform processing of CDMA modulation and demodulation on baseband signals. That is, the modem channel cards (MDCs) 21 performs processing of CDMA modulation in
15 accordance with IS-95A on packet data supplied from the base station controller (BSC) 30, generate CDMA modulated baseband signals, and send the CDMA modulated baseband signals to the transmitter receiver (TRX) 23. In addition, the modem channel cards (MDCs) 21 receive
20 data which are received and sampled by the transmitter receiver (TRX) 23, and demodulates the data to regenerate symbols, and decode the symbol data.

The BTS controller 22 monitors and controls all of the constituents of the base station transceiver
25 subsystem (BTS) 20, and functions as an interface between the modem channel cards (MDCs) 21 and the base station controller (BSC) 30.

The transmitter receiver (TRX) 23 is a wireless transmitter and receiver, and performs serial-to-parallel conversion, data addition, secondary modulation, transmission frequency conversion, reception frequency conversion, RSSI detection, demodulation, and the like.
5 The high power amplifier (HPA) 24 amplifies transmission RF signals in the 800 MHz band.

The base station controller (BSC) 30 comprises a main processor control card (MPC) 31, an ATM layer control card (ATC) 32, an SS7 (signaling system No.7) layer control card (SSC) 33, a BTS data processing common card (BDC) 34, an ATM switch processing common card (ASC) 35, and a selector vocoder card (SVC) 36.
10

The main processor control card (MPC) 31 is a main processor, which monitors and controls all of the constituents of the base station controller (BSC) 30, and controls connections for calls.
15

The ATM layer control card (ATC) 32 terminates the ATM layer, and controls ATM packets transferred between the base station transceiver subsystem (BTS) 20 and the base station controller (BSC) 30, and between the constituents of the base station controller (BSC) 30. The SS7 layer control card (SSC) 33 terminates the common channel signaling (SS7) system, which is a signaling protocol used between the base station controller (BSC) 30 and the mobile switching center (MSC) 40.
20
25

The BTS data processing common card (BDC) 34 terminates the E1 interface which is an interface between the base station controller (BSC) 30 and the base station transceiver subsystem (BTS) 20. The ATM switch processing common card (ASC) 35 controls routing between resources in the wired and the wireless systems, where the resources in the wired system include a vocoder provided in the selector vocoder card (SVC) 36, and the resources in the wireless system are provided in the modem channel cards (MDCs) 21.

The selector vocoder card (SVC) 36 multiplexes control signals and sound signals, performs processing of QCELP (Qualcomm code excited linear prediction) and PCM (pulse code modulation), and monitors quality of reverse-link frames transmitted from mobile stations.

The operations of the construction of Fig. 7 relating to the present invention are explained below.

The selector vocoder card (SVC) 36 detects quality deterioration of the reverse-link signals as follows.

The reverse-link signals received by the modem channel cards (MDCs) 21 are transformed into ATM cells, which are transferred to the selector vocoder card (SVC) 36 through the BTS data processing common card (BDC) 34 and the ATM switch processing common card (ASC) 35. The selector vocoder card (SVC) 36 transforms the ATM cells into signaling frames in accordance with the SS7 system, extracts symbol error information from the frames. The

symbol error information is transferred from the selector vocoder card (SVC) 36 to the main processor control card (MPC) 31 through the ATM layer control card (ATC) 32.

5 Alternatively, the quality deterioration of the reverse-link signals may be detected from the signals received from the mobile stations by any other known methods.

On the other hand, each mobile station detects
10 quality deterioration of the forward-link signals by calculation based on signals received from the base station transceiver subsystem (BTS) 20. The detected result of the quality deterioration is multiplexed with sound signals, and transmitted to the base station
15 transceiver subsystem (BTS) 20 of the CDMA wireless communication control apparatus of Fig. 7. The modem channel cards (MDCs) 21 receive the signals representing the detected result of the quality deterioration. The received signals are transformed into ATM cells, and
20 transferred to the selector vocoder card (SVC) 36 through the BTS data processing common card (BDC) 34 and the ATM switch processing common card (ASC) 35. The selector vocoder card (SVC) 36 transforms the ATM cells into signaling frames in accordance with the SS7 system,
25 extracts information on the quality deterioration of the forward-link signals from the frames, which is then is transferred from the selector vocoder card (SVC) 36 to

the main processor control card (MPC) 31 through the ATM layer control card (ATC) 32.

Thus, the information on the quality deterioration of the reverse-link and forward-link signals for each mobile station is finally collected by the main processor control card (MPC) 31. The main processor control card (MPC) 31 has a list indicating a relationship between the quality deterioration and the handoff threshold, where the list realizes the aforementioned quality-versus-threshold table 13. The main processor control card (MPC) 31 determines a value of the handoff threshold based on the list and the information on the quality deterioration of the reverse-link and forward-link signals. The determined value of the handoff threshold is transferred to the BTS controller 22 in the base station transceiver subsystem (BTS) 20 through the ATM layer control card (ATC) 32, the ATM switch processing common card (ASC) 35, and the BTS data processing common card (BDC) 34.

The BTS controller 22 generates a frame containing the handoff threshold value in accordance with a recommendation for an air interface, e.g., as recommended by IS-95A, and transfers the frame to the modem channel cards (MDCs) 21, which perform CDMA modulation on the frame to generate a CDMA modulated baseband signal. Then, the handoff threshold value in the form of the CDMA modulated baseband signal is

transferred to the transmitter receiver (TRX) 23, to be transmitted to the mobile station with the aid of the high power amplifier (HPA) 24. When the mobile station receives the transmitted signal, the mobile station
5 extracts the handoff threshold value from the received signal, and sets the handoff threshold value in the mobile station for use in the handoff operation.

In addition, the main processor control card (MPC) 31 controls the aforementioned operation of limiting the
10 number of frequent handoffs as illustrated in Fig. 4, as follows.

The main processor control card (MPC) 31 has the aforementioned number-of-handoffs table 15 as illustrated in Fig. 5. When the modem channel cards
15 (MDCs) 21 receives from mobile stations a signal indicating strength of a reference signal received from a substitute base station, the modem channel cards (MDCs) 21 transforms the signals into ATM cells, and transfers the ATM cells to the selector vocoder card
20 (SVC) 36 through the BTS data processing common card (BDC) 34 and the ATM switch processing common card (ASC) 35. The selector vocoder card (SVC) 36 transforms the ATM cells into signaling frames in accordance with the SS7 system, and transfers the signaling frames to the
25 main processor control card (MPC) 31. The main processor control card (MPC) 31 executes processing for achieving a handoff based on the information on the strength of

the reference signal. The main processor control card (MPC) 31 also renews the number-of-handoffs table 15, and controls the timer. The main processor control card (MPC) 31 further manages the operations for achieving a handoff and changing the handoff threshold values. Information on the cancellation of a handoff and change of the handoff threshold value is generated by the main processor control card (MPC) 31, and transferred to the BTS controller 22 through the ATM layer control card (ATC) 32, the ATM switch processing common card (ASC) 35, and the BTS data processing common card (BDC) 34. The BTS controller 22 transforms the information into a frame in accordance with a recommendation for the air interface, e.g., as recommended by IS-95A, and transfers the frame to the modem channel cards (MDCs) 21, which perform CDMA modulation on the frame to generate a CDMA modulated baseband signal. Then, the information in the form of the CDMA modulated baseband signal is transmitted by the transmitter receiver (TRX) 23 to the mobile station with the aid of the high power amplifier (HPA) 24. When the mobile station receives the transmitted signal, the mobile station extracts the information from the signal, and performs a necessary operation in accordance with the information. For example, the mobile station sets the handoff threshold value included in the information, in the mobile station for use in an operation for achieving a handoff.

REPORT

10

15

25

mobile station can vary the hand-off threshold set in the mobile station, based on the quality deterioration of the forward link detected by the mobile station. Except for the provision for the mobile switching center (MSC) 40 and the interface with the mobile switching center (MSC) 40, a similar construction to the construction of Fig. 6 can be used in each mobile station.

(iv) Instead of providing the quality-versus-threshold table 13 as illustrated in Fig. 2, it is possible to simply raise the handoff threshold when the quality of the wireless link exceeds a predetermined level, and simply drop the handoff threshold when the quality of the wireless link drops below a predetermined level.

(v) In addition, the functions of the embodiment of the present invention may be realized by using a certain product with a wireless communication control apparatus, e.g., by installing a computer-readable medium in a computer. The product is such that when the product is used with the wireless communication control apparatus (e.g., a computer), the product is able to output control information which directs the wireless communication control apparatus to realize any of the above functions of the present invention. The product may be a semiconductor storage device storing a program which realizes the above functions, such as a ROM, or a

000299 556/5560

magnetic storage medium such as a floppy disc or a hard disk, or a CD-ROM, a CD-R, a DVD-ROM, a DVD-RAM, a DVD-R, or the like. Further, the above product may be a programmed hardware logic circuit such as an LSI. The
5 above product can be put into the market. Alternatively, program data realizing the above functions may be transferred through a communication network from a storage device included in a computer system to another computer system. When executing the program in a
10 computer system, for example, the program stored in a hard disk may be loaded in a main memory of the computer system.

(vi) The foregoing is considered as illustrative only of the principle of the present invention. Further,
15 since numerous modifications and changes will readily occur to those skilled in the art, it is not desired to limit the invention to the exact construction and applications shown and described, and accordingly, all suitable modifications and equivalents may be regarded
20 as falling within the scope of the invention in the appended claims and their equivalents.

(vii) All of the contents of the Japanese patent application, No.11-248335 are incorporated into this specification by reference.

What is claimed is:

1. A method of handing off a mobile station from a first wireless base station to a second wireless base station in a mobile communication system, comprising the steps of:

(a) varying a handoff threshold which is set in said mobile station, according to quality of a wireless link between said mobile station and said first wireless base station which currently controls the mobile station; and

(b) handing off said mobile station from said first wireless base station to said second wireless base station, based on said handoff threshold.

2. A method according to claim 1, wherein said handoff threshold is varied on a real-time basis in step (a).

3. A method according to claim 2, further comprising the step of

(c) before performing the operation of step (a), obtaining information on said quality of said wireless link between said mobile station and said first wireless base station.

4. A mobile communication system comprising:
at least one mobile station; and
at least two wireless base stations;
each of said at least two wireless base stations comprises,

000290" 052000
0957935.052000
a handoff threshold varying unit which varies
a handoff threshold which is set in one of said at least
one mobile station, according to quality of a wireless
link between said one of the at least one mobile station
5 and said each of said at least two wireless base stations
which currently controls said one of the at least one
mobile station, and

a handoff processing unit which executes
processing for handing off said one of the at least one
10 mobile station from said each of said at least two
wireless base stations to another of said at least two
wireless base stations, based on said handoff threshold.

5. A mobile communication system according to claim
4, wherein said handoff threshold varying unit varies said
15 handoff threshold on a real-time basis.

6. A mobile communication system according to claim
5, wherein each of said at least two wireless base
stations further comprises a quality obtaining unit which
obtains, before performing the operation of said handoff
20 threshold varying unit, information on said quality of
said wireless link between said one of the at least one
mobile station and said each of said at least two wireless
base stations which currently controls said one of the at
least one mobile station.

25 7. A product for use with a wireless base station
apparatus, said product, when used with said wireless base
station apparatus, is able to output control information

which directs the wireless base station apparatus to
comprise:

5 a handoff threshold varying unit which varies
a handoff threshold which is set in a mobile station
currently controlled by said wireless base station
apparatus, according to quality of a wireless link between
said mobile station and said wireless base station
apparatus, and

10 a handoff processing unit which executes
processing for handing off said mobile station from said
wireless base station apparatus to another wireless base
station apparatus, based on said handoff threshold.

8. A product according to claim 7, wherein said
handoff threshold varying unit varies said handoff
15 threshold on a real-time basis.

9. A product according to claim 8, wherein said
product, when used with said wireless base station
apparatus, is able to output control information which
directs the wireless base station apparatus to further
20 comprise a quality obtaining unit which obtains, before
performing the operation of said handoff threshold varying
unit, information on said quality of said wireless link
between said mobile station and said wireless base station
apparatus which currently controls said mobile station.

25 10. A mobile communication system comprising:
at least one mobile station;
at least two wireless base stations; and

a mobile switching center;

said mobile switching center comprises,

a handoff threshold varying unit which varies
a handoff threshold which is set in one of said at least
5 one mobile station, according to quality of a wireless
link between said one of the at least one mobile station
and one of said at least two wireless base stations which
currently controls said one of the at least one mobile
station, and

10 a handoff processing unit which executes
processing for handing off said one of the at least one
mobile station from said one of said at least two wireless
base stations to another of said at least two wireless
base stations, based on said handoff threshold.

15 11. A mobile communication system according to
claim 10, wherein said handoff threshold varying unit
varies said handoff threshold on a real-time basis.

12. A mobile communication system according to
claim 11, wherein said mobile switching center further
20 comprises a quality obtaining unit which obtains, before
performing the operation of said handoff threshold varying
unit, information on said quality of said wireless link
between said one of the at least one mobile station and
said one of said at least two wireless base stations which
25 currently controls the mobile station.

13. A product for use with a mobile switching
center apparatus, said product, when used with said mobile

switching center apparatus, is able to output control information which directs the mobile switching center apparatus to comprise:

5 a handoff threshold varying unit which varies
a handoff threshold which is set in a mobile station currently controlled by a wireless base station, according to quality of a wireless link between said mobile station and said wireless base station, and

10 a handoff processing unit which executes
processing for handing off said mobile station from said wireless base station to another wireless base station, based on said handoff threshold.

14. A product according to claim 13, wherein said handoff threshold varying unit varies said handoff
15 threshold on a real-time basis.

15. A product according to claim 14, wherein said product, when used with said mobile switching center apparatus, is able to output control information which directs the mobile switching center apparatus to further
20 comprise a quality obtaining unit which obtains, before performing the operation of said handoff threshold varying unit, information on said quality of said wireless link between said mobile station and said wireless base station apparatus which currently controls the mobile station.

25 16. A mobile station comprising:

a handoff threshold varying unit which varies
a handoff threshold which is set in a mobile station,

002290 55546560
according to quality of a wireless link between the mobile station and a wireless base station which currently controls the mobile station, and

5 a handoff processing unit which executes processing for handing off the mobile station from said wireless base station to another wireless base station, based on said handoff threshold.

10 17. A mobile station according to claim 16, wherein said handoff threshold varying unit varies said handoff threshold on a real-time basis.

15 18. A mobile station according to claim 17, wherein said mobile station further comprises a quality obtaining unit which obtains, before performing the operation of said handoff threshold varying unit, information on said quality of said wireless link between said mobile station and said wireless base station which currently controls the mobile station.

20 19. A product for use with a mobile station apparatus, said product, when used with said mobile station apparatus, is able to output control information which directs the mobile station apparatus to comprise:

25 a handoff threshold varying unit which varies a handoff threshold which is set in said mobile station apparatus, according to quality of a wireless link between said mobile station apparatus and a wireless base station which currently controls said mobile station apparatus, and

a handoff processing unit which executes processing for handing off said mobile station apparatus from said wireless base station to another wireless base station, based on said handoff threshold.

5 20. A product according to claim 19, wherein said handoff threshold varying unit varies said handoff threshold on a real-time basis.

00290 554560
10 21. A product according to claim 20, wherein said product, when used with said mobile station apparatus, is able to output control information which directs said mobile station apparatus to further comprise a quality obtaining unit which obtains, before performing the operation of said handoff threshold varying unit, information on said quality of said wireless link between
15 said mobile station apparatus and said wireless base station which currently controls the mobile station.

22. A wireless communication control apparatus comprising:

20 a handoff threshold varying unit which varies a handoff threshold which is set in a mobile station, according to quality of a wireless link between said mobile station and a wireless base station which currently controls said mobile station, and

25 a handoff processing unit which executes processing for handing off said mobile station from said wireless base station to another wireless base station, based on said handoff threshold.

23. A wireless communication control apparatus according to claim 22, wherein said handoff threshold varying unit varies said handoff threshold on a real-time basis.

5 24. A wireless communication control apparatus according to claim 23, further comprising a quality obtaining unit which obtains, before performing the operation of said handoff threshold varying unit, information on said quality of said wireless link between
10 said mobile station and said wireless base station which currently controls said mobile station.

25. A wireless communication control apparatus according to claim 22, further comprising a quality-versus-threshold table which indicates a relationship
15 between said handoff threshold and said quality.

26. A wireless communication control apparatus according to claim 22, wherein said handoff threshold varying unit lowers said handoff threshold when said quality of the wireless link drops below a predetermined
20 level.

27. A wireless communication control apparatus according to claim 22, further comprising a handoff limiting unit which stops said processing for handing off said mobile station to said another wireless base station
25 when a frequency of handoffs of said mobile station to said another wireless base station exceeds a predetermined frequency.

28. A wireless communication control apparatus according to claim 27, wherein said handoff limiting unit raises said handoff threshold when said handoff limiting unit stops said processing for handing off said mobile station.

29. A wireless communication control apparatus according to claim 28, wherein said handoff processing unit executes said processing for achieving the handoff, when said mobile station receives from said another wireless base station a reference signal having quality exceeding said handoff threshold raised by said handoff limiting unit.

30. A product for use with a wireless communication control apparatus, said product, when used with said wireless communication control apparatus, is able to output control information which directs the wireless communication control apparatus to comprise:

a handoff threshold varying unit which varies a handoff threshold which is set in a mobile station, according to quality of a wireless link between said mobile station and a wireless base station which currently controls said mobile station, and

a handoff processing unit which executes processing for handing off said mobile station from said wireless base station to another wireless base station, based on said handoff threshold.

31. A product according to claim 30, wherein said

handoff threshold varying unit varies said handoff threshold on a real-time basis.

32. A product according to claim 31, wherein said product, when used with said wireless communication control apparatus, is able to output control information which directs said wireless communication control apparatus to further comprise a quality obtaining unit which obtains, before performing the operation of said handoff threshold varying unit, information on said quality of said wireless link between said mobile station and said wireless base station which currently controls said mobile station.

33. A product according to claim 30, wherein said product, when used with said wireless communication control apparatus, is able to output control information which directs said wireless communication control apparatus to further comprise a quality-versus-threshold table which indicates a relationship between said handoff threshold and said quality.

34. A product according to claim 30, wherein said handoff threshold varying unit lowers said handoff threshold when said quality of the wireless link drops below a predetermined level.

35. A product according to claim 30, wherein said product, when used with said wireless communication control apparatus, is able to output control information which directs said wireless communication control

apparatus to further comprise a handoff limiting unit which stops said processing for handing off said mobile station to said another wireless base station when a frequency of handoffs of said mobile station to said another wireless base station exceeds a predetermined frequency.

36. A product according to claim 35, wherein said handoff limiting unit raises said handoff threshold when said handoff limiting unit stops said processing for handing off said mobile station.

37. A product according to claim 36, wherein said handoff processing unit executes said processing for achieving said handoff, when said mobile station receives from said another wireless base station a reference signal having quality exceeding said handoff threshold raised by said handoff limiting unit.

ABSTRACT OF THE DISCLOSURE

A method and a wireless communication control apparatus for handing off a mobile station in a mobile communication system including at least two wireless base stations. A handoff threshold which is set in the mobile station is varied according to quality of a wireless link between the mobile station and a first wireless base station which currently controls the mobile station. Then, the processing for handing off the mobile station from the first wireless base station to a second wireless base station is performed based on the handoff threshold.

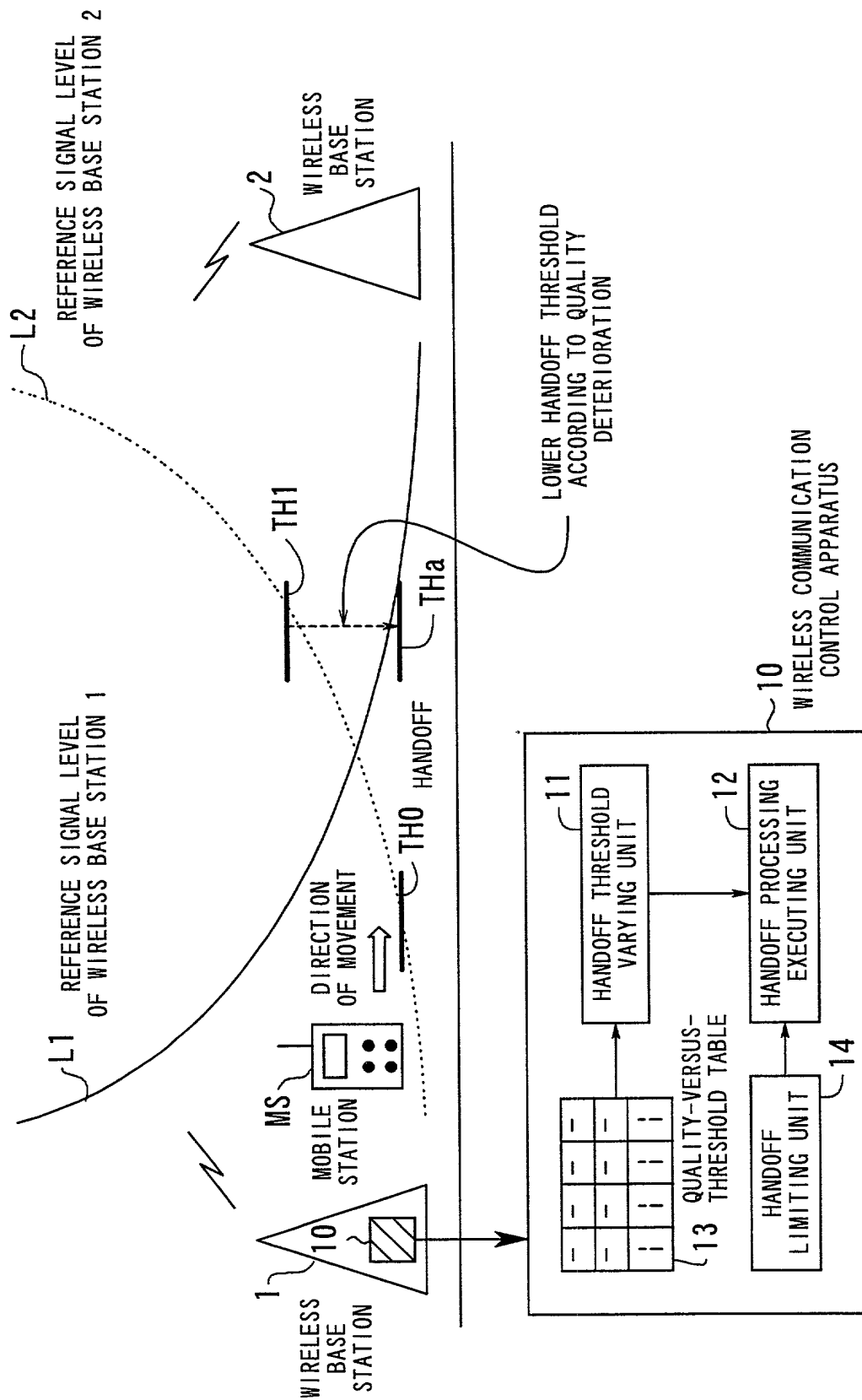


FIG. 1

13 QUALITY-VERSUS-THRESHOLD TABLE

13a REVERSE-LINK QUALITY DROP VALUES	13b FORWARD-LINK QUALITY DROP VALUES	13c HANDOFF THRESHOLD
0. 0 %	0. 0 %	2. 5 d B m
0. 0 %	0. 1 %	2. 4 d B m
⋮	⋮	⋮
1 0. 0 %	9. 9 %	0. 2 d B m
1 0. 0 %	1 0. 0 %	0. 1 d B m

FIG. 2

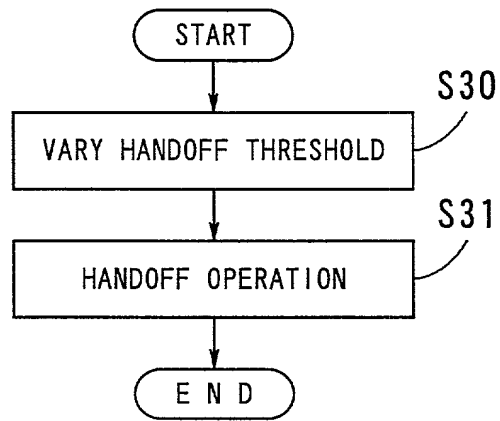


FIG. 3

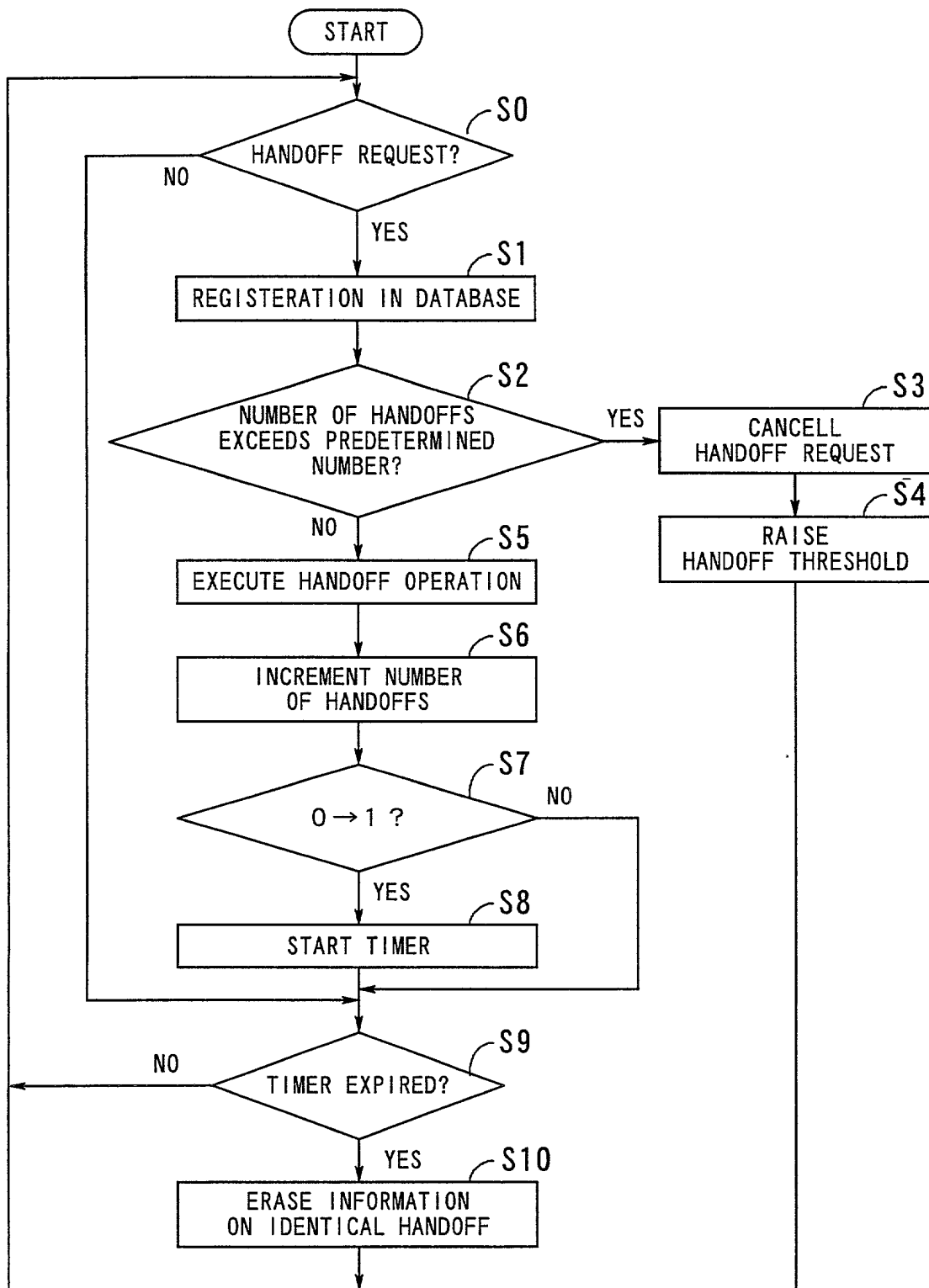


FIG. 4

000290" 5E646560

15 HANDOFF NUMBER TABLE

PROVIDED FOR EACH MOBILE STATION

15-1a BASE STATION NUMBER	15-1b NUMBER OF OCCURRED HANDOFFS
E 3	3
C 2	4
⋮	⋮
O 8	1
C 1	1

FIG. 5

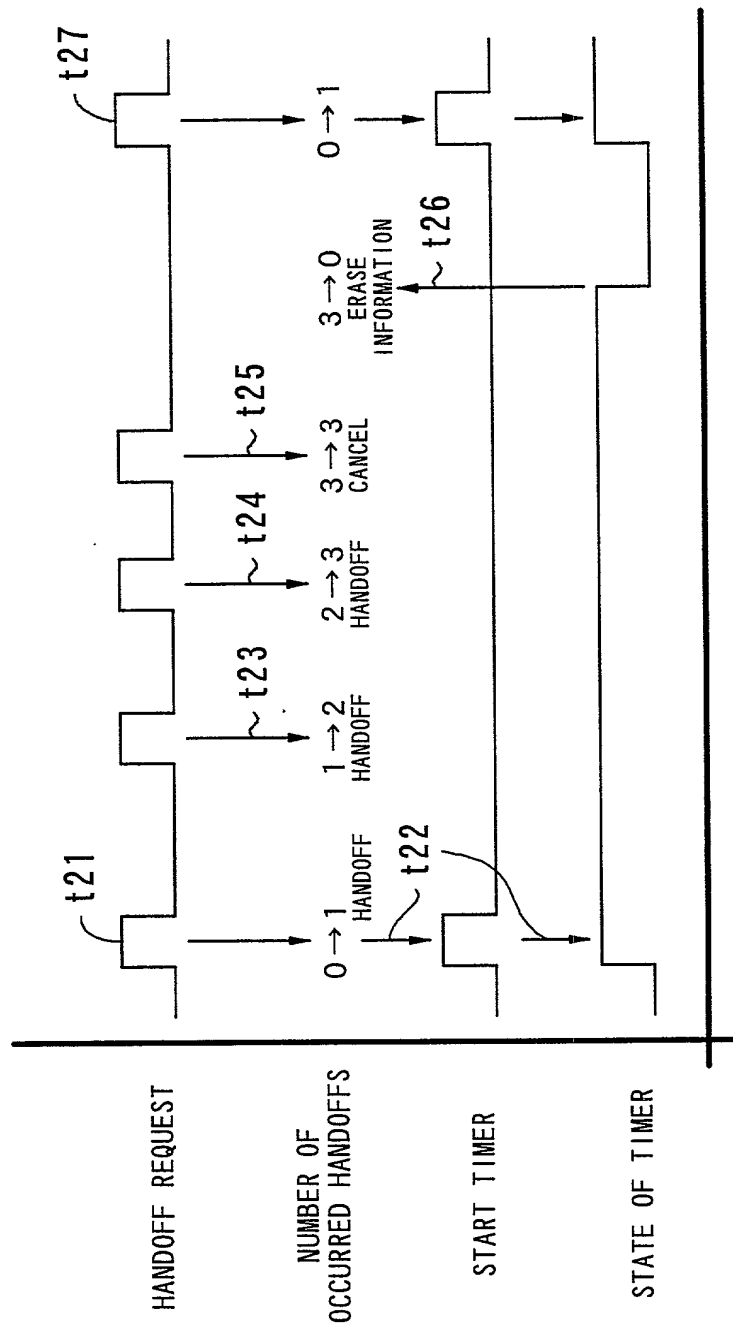


FIG. 6

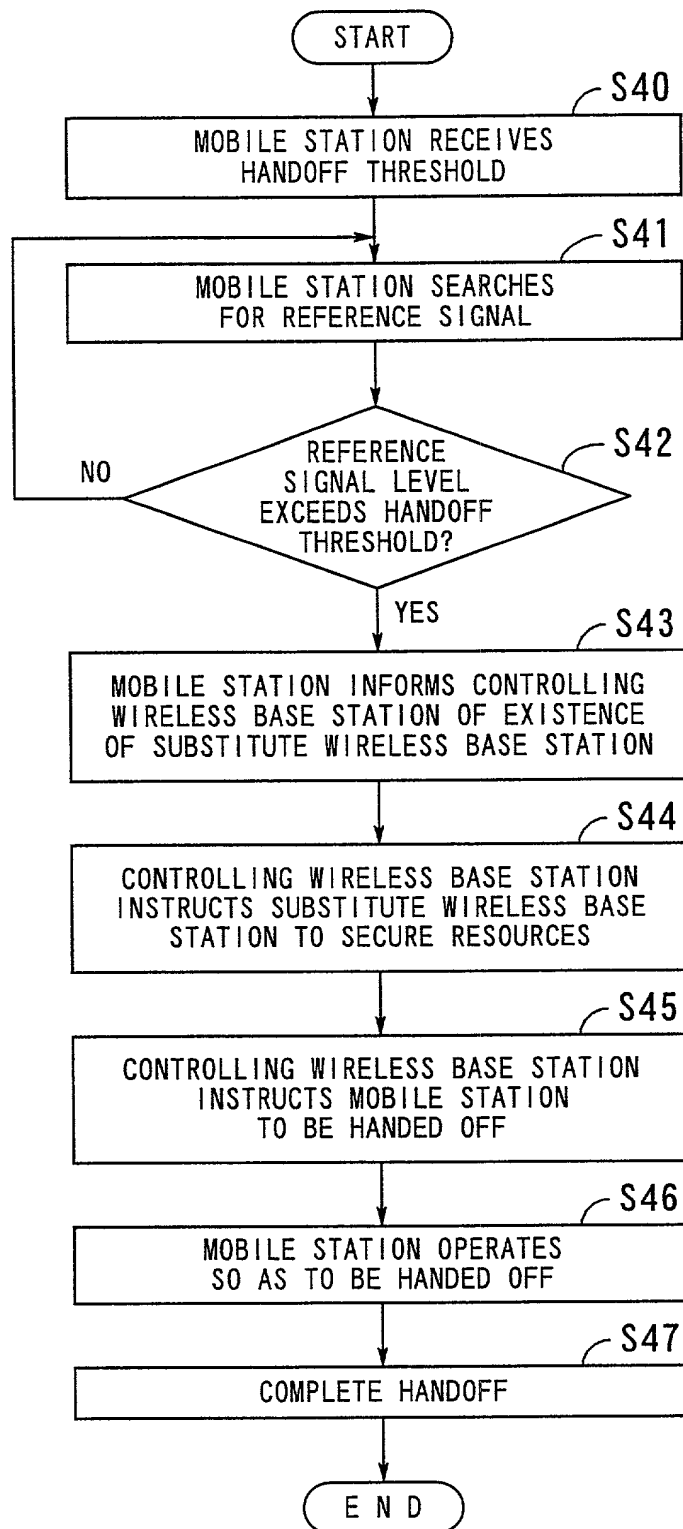


FIG. 8
PRIOR ART

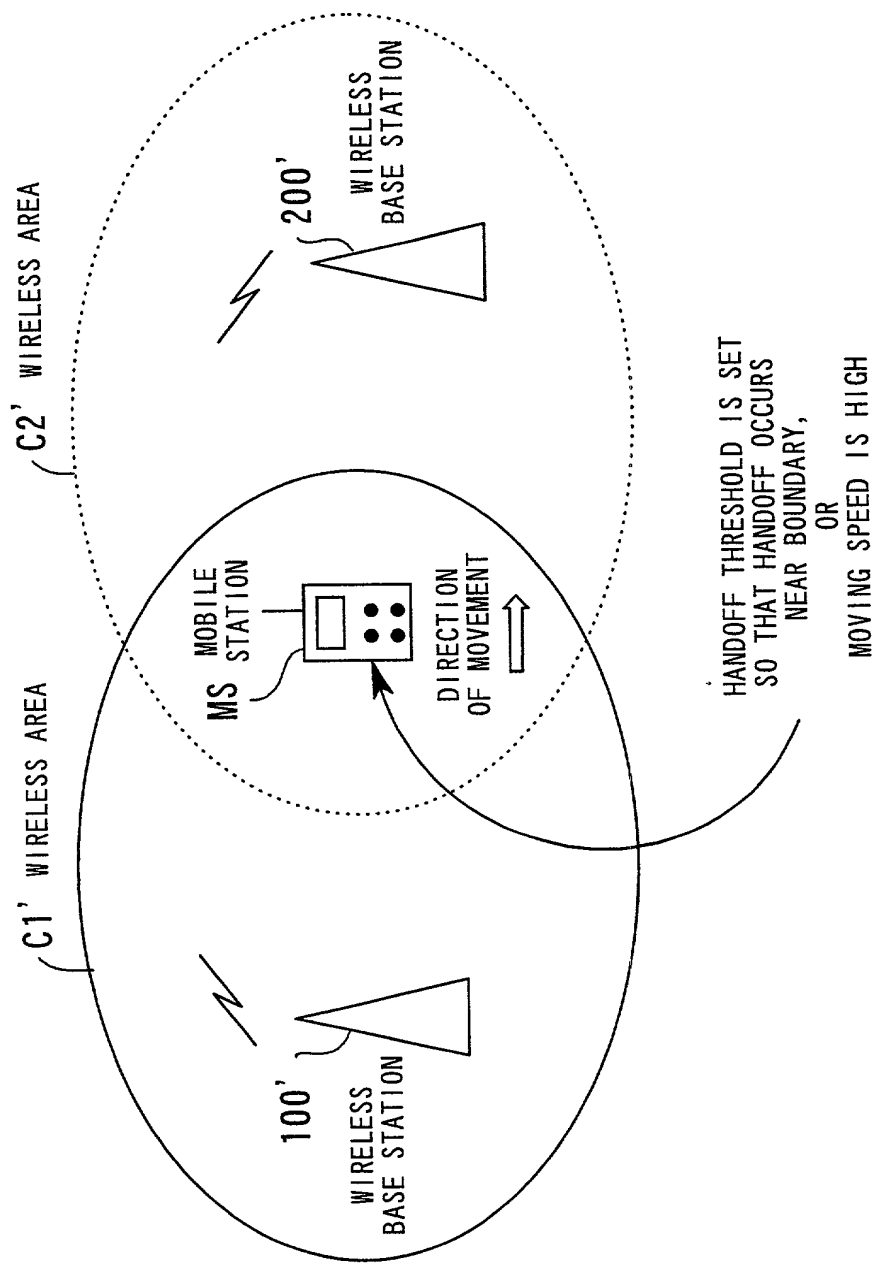


FIG. 9

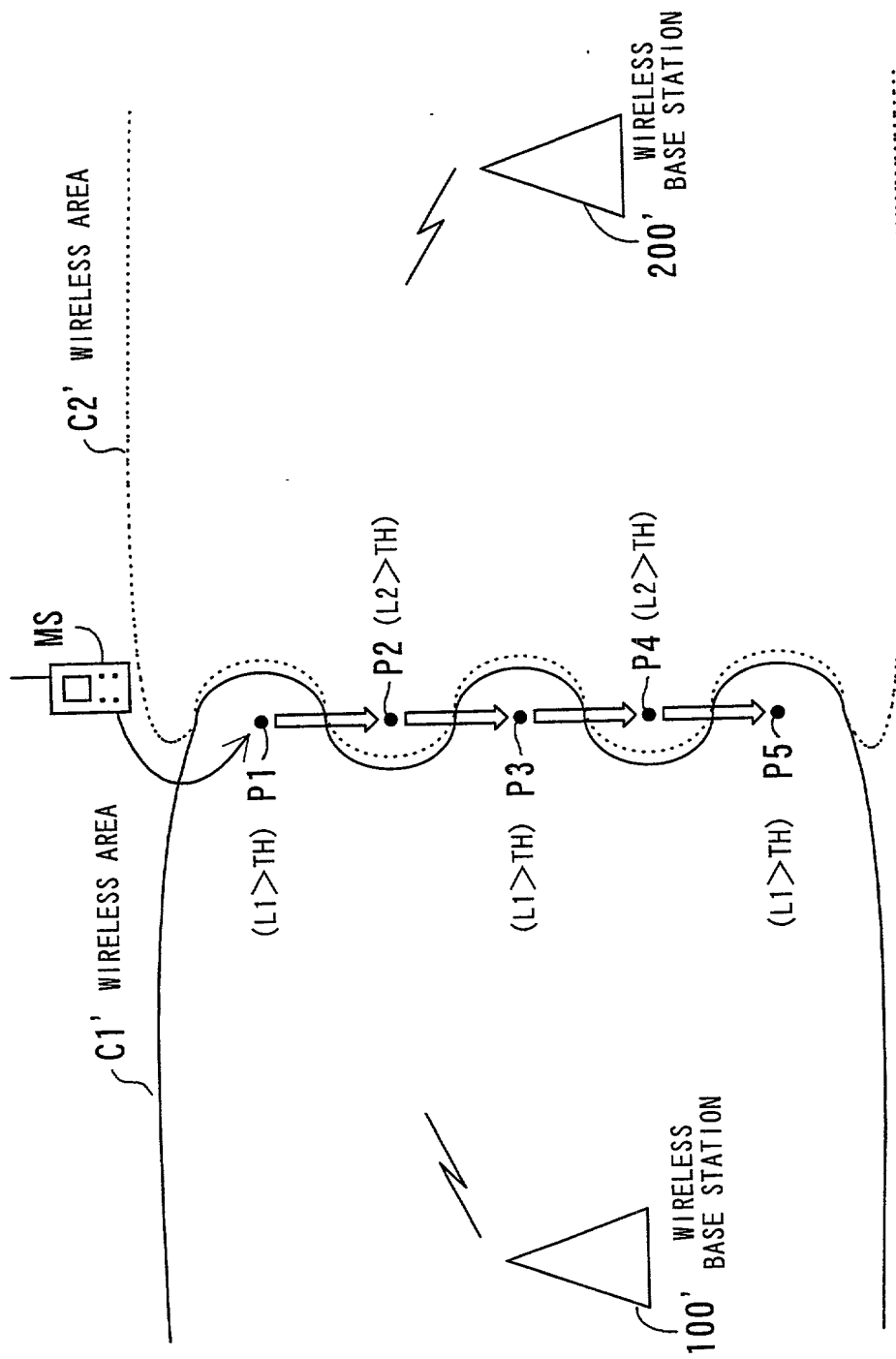


FIG. 10

Declaration and Power of Attorney For Patent Application**特許出願宣言書及び委任状****Japanese Language Declaration****日本語宣言書**

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD AND APPARATUS FOR PERFORMING

HANDOFF BY VARYING THRESHOLD LEVEL

上記発明の明細書（下記の欄でx印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

☐ 月 日に提出され、米国出願番号または特許協定条約国際出願番号を _____ とし、
（該当する場合） _____ に訂正されました。

☐ was filed on _____
as United States Application Number or
PCT International Application Number
_____ and was amended on
_____ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されたとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Japanese Language Declaration (日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国以外の国の少なくとも一カ国を指定している特許協力条約365(a)項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

Prior Foreign Application(s)

外国での先行出願

11-248335

(Number)

(番号)

Japan

(Country)

(国名)

(Number)

(番号)

(Country)

(国名)

私に、第35編米国法典119条(e)項に基づいて下記の米国外特許出願規定に記載された権利をここに主張いたします。

(Application No.)

(出願番号)

(Filing Date)

(出願日)

私は、下記の米国法典第35編120条に基づいて下記の米国外特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)に基づき権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国外特許出願に開示されていない限り、その先行米国外出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

(Application No.)

(出願番号)

(Filing Date)

(出願日)

(Application No.)

(出願番号)

(Filing Date)

(出願日)

私は、私自身の知識に基づいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じることに基づき表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行えば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed

優先権主張なし

02/09/1999

(Day/Month/Year Filed)

(出願年月日)

(Day/Month/Year Filed)

(出願年月日)

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)

(出願番号)

(Filing Date)

(出願日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Status: Patented, Pending, Abandoned)

(現況: 特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned)

(現況: 特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Japanese Language Declaration (日本語宣言書)

委任状: 私は下記の発明者として、本出願に関する一切の手続きを米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。(弁理士、または代理人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

書類送付先

Aaron B. KARAS, Reg. No. 18,923; Samson HELFGOTT,
Reg. No. 23,072 and Leonard COOPER Reg. No. 27,625
Send Correspondence to:
HELFGOTT & KARAS, P.C.
Empire State Building, 60th Floor
New York, New York 10118
United States of America

直接電話連絡先: (名前及び電話番号)

Direct Telephone Calls to: (name and telephone number)

Helgott & Karas, P.C.
(212) 643-5000

唯一または第一発明者名		Full name of sole or first inventor Tatsuya WAKUTA	
発明者の署名	日付	Inventor's signature <i>Tatsuya Wakuta</i>	Date May 10, 2000
住所		Residence Kawasaki-shi, Kanagawa, Japan	
国籍		Citizenship Japanese	
私書箱		Post Office Address c/o FUJITSU LIMITED 1-1, Kamikodanaka 4-chome, Nakahara-ku, Kawasaki-shi, Kanagawa 211-8588 Japan	
第二共同発明者		Full name of second joint inventor, if any Hitoshi KOIKE	
第二共同発明者	日付	Second inventor's signature <i>Hitoshi Koike</i>	Date May 10, 2000
住所		Residence Kawasaki-shi, Kanagawa, Japan	
国籍		Citizenship Japanese	
私書箱		Post Office Address c/o FUJITSU LIMITED 1-1, Kamikodanaka 4-chome, Nakahara-ku, Kawasaki-shi, Kanagawa 211-8588 Japan	

(第三以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)

第三共同発明者

Full name of third joint inventor, if any
Ryuichi SATO

第三共同発明者

日付

Third inventor's signature

Date

Ryuichi Sato

May 10, 2000

住 所

Residence

Kawasaki-shi, Kanagawa, Japan

国 籍

Citizenship

Japanese

私書箱

Post Office Address

c/o FUJITSU LIMITED

1-1, Kamikodanaka 4-chome, Nakahara-ku,
Kawasaki-shi, Kanagawa 211-8588 Japan

第四共同発明者

Full name of fourth joint inventor, if any

第四共同発明者

日付

Fourth inventor's signature

Date

住 所

Residence

国 籍

Citizenship

私書箱

Post Office Address

第五共同発明者

Full name of fifth joint inventor, if any

第五共同発明者

日付

Fifth inventor's signature

Date

住 所

Residence

国 籍

Citizenship

私書箱

Post Office Address

第六共同発明者

Full name of sixth joint inventor, if any

第六共同発明者

日付

Sixth inventor's signature

Date

住 所

Residence

国 籍

Citizenship

私書箱

Post Office Address

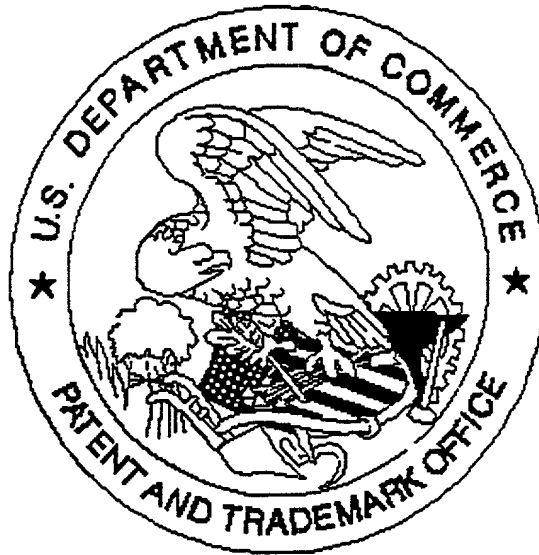
(第七以降の共同発明者についても同様に
記載し、署名をすること)

(Supply similar information and signature for
seventh and subsequent joint inventors.)

Figure 1. The effect of the concentration of the *Agrobacterium* suspension on the transformation efficiency of *Agrobacterium* strains. The concentration of the *Agrobacterium* suspension was 10⁶ cells/ml (a), 10⁷ cells/ml (b), 10⁸ cells/ml (c), 10⁹ cells/ml (d), 10¹⁰ cells/ml (e), 10¹¹ cells/ml (f), 10¹² cells/ml (g), 10¹³ cells/ml (h), 10¹⁴ cells/ml (i), 10¹⁵ cells/ml (j), 10¹⁶ cells/ml (k), 10¹⁷ cells/ml (l), 10¹⁸ cells/ml (m), 10¹⁹ cells/ml (n), 10²⁰ cells/ml (o), 10²¹ cells/ml (p), 10²² cells/ml (q), 10²³ cells/ml (r), 10²⁴ cells/ml (s), 10²⁵ cells/ml (t), 10²⁶ cells/ml (u), 10²⁷ cells/ml (v), 10²⁸ cells/ml (w), 10²⁹ cells/ml (x), 10³⁰ cells/ml (y), 10³¹ cells/ml (z), 10³² cells/ml (aa), 10³³ cells/ml (ab), 10³⁴ cells/ml (ac), 10³⁵ cells/ml (ad), 10³⁶ cells/ml (ae), 10³⁷ cells/ml (af), 10³⁸ cells/ml (ag), 10³⁹ cells/ml (ah), 10⁴⁰ cells/ml (ai), 10⁴¹ cells/ml (aj), 10⁴² cells/ml (ak), 10⁴³ cells/ml (al), 10⁴⁴ cells/ml (am), 10⁴⁵ cells/ml (an), 10⁴⁶ cells/ml (ao), 10⁴⁷ cells/ml (ap), 10⁴⁸ cells/ml (aq), 10⁴⁹ cells/ml (ar), 10⁵⁰ cells/ml (as), 10⁵¹ cells/ml (at), 10⁵² cells/ml (au), 10⁵³ cells/ml (av), 10⁵⁴ cells/ml (aw), 10⁵⁵ cells/ml (ax), 10⁵⁶ cells/ml (ay), 10⁵⁷ cells/ml (az), 10⁵⁸ cells/ml (ba), 10⁵⁹ cells/ml (bb), 10⁶⁰ cells/ml (bc), 10⁶¹ cells/ml (bd), 10⁶² cells/ml (be), 10⁶³ cells/ml (bf), 10⁶⁴ cells/ml (bg), 10⁶⁵ cells/ml (bh), 10⁶⁶ cells/ml (bi), 10⁶⁷ cells/ml (bj), 10⁶⁸ cells/ml (bk), 10⁶⁹ cells/ml (bl), 10⁷⁰ cells/ml (bm), 10⁷¹ cells/ml (bn), 10⁷² cells/ml (bo), 10⁷³ cells/ml (bp), 10⁷⁴ cells/ml (bq), 10⁷⁵ cells/ml (br), 10⁷⁶ cells/ml (bs), 10⁷⁷ cells/ml (bt), 10⁷⁸ cells/ml (bu), 10⁷⁹ cells/ml (bv), 10⁸⁰ cells/ml (bw), 10⁸¹ cells/ml (bx), 10⁸² cells/ml (by), 10⁸³ cells/ml (bz), 10⁸⁴ cells/ml (ca), 10⁸⁵ cells/ml (cb), 10⁸⁶ cells/ml (cc), 10⁸⁷ cells/ml (cd), 10⁸⁸ cells/ml (ce), 10⁸⁹ cells/ml (cf), 10⁹⁰ cells/ml (cg), 10⁹¹ cells/ml (ch), 10⁹² cells/ml (ci), 10⁹³ cells/ml (cj), 10⁹⁴ cells/ml (ck), 10⁹⁵ cells/ml (cl), 10⁹⁶ cells/ml (cm), 10⁹⁷ cells/ml (cn), 10⁹⁸ cells/ml (co), 10⁹⁹ cells/ml (cp), 10¹⁰⁰ cells/ml (cq), 10¹⁰¹ cells/ml (cr), 10¹⁰² cells/ml (cs), 10¹⁰³ cells/ml (ct), 10¹⁰⁴ cells/ml (cu), 10¹⁰⁵ cells/ml (cv), 10¹⁰⁶ cells/ml (cw), 10¹⁰⁷ cells/ml (cx), 10¹⁰⁸ cells/ml (cy), 10¹⁰⁹ cells/ml (cz), 10¹¹⁰ cells/ml (da), 10¹¹¹ cells/ml (db), 10¹¹² cells/ml (dc), 10¹¹³ cells/ml (dd), 10¹¹⁴ cells/ml (de), 10¹¹⁵ cells/ml (df), 10¹¹⁶ cells/ml (dg), 10¹¹⁷ cells/ml (dh), 10¹¹⁸ cells/ml (di), 10¹¹⁹ cells/ml (dj), 10¹²⁰ cells/ml (dk), 10¹²¹ cells/ml (dl), 10¹²² cells/ml (dm), 10¹²³ cells/ml (dn), 10¹²⁴ cells/ml (do), 10¹²⁵ cells/ml (dp), 10¹²⁶ cells/ml (dq), 10¹²⁷ cells/ml (dr), 10¹²⁸ cells/ml (ds), 10¹²⁹ cells/ml (dt), 10¹³⁰ cells/ml (du), 10¹³¹ cells/ml (dv), 10¹³² cells/ml (dw), 10¹³³ cells/ml (dx), 10¹³⁴ cells/ml (dy), 10¹³⁵ cells/ml (dz), 10¹³⁶ cells/ml (ea), 10¹³⁷ cells/ml (eb), 10¹³⁸ cells/ml (ec), 10¹³⁹ cells/ml (ed), 10¹⁴⁰ cells/ml (ee), 10¹⁴¹ cells/ml (ef), 10¹⁴² cells/ml (eg), 10¹⁴³ cells/ml (eh), 10¹⁴⁴ cells/ml (ei), 10¹⁴⁵ cells/ml (ej), 10¹⁴⁶ cells/ml (ek), 10¹⁴⁷ cells/ml (el), 10¹⁴⁸ cells/ml (em), 10¹⁴⁹ cells/ml (en), 10¹⁵⁰ cells/ml (eo), 10¹⁵¹ cells/ml (ep), 10¹⁵² cells/ml (eq), 10¹⁵³ cells/ml (er), 10¹⁵⁴ cells/ml (es), 10¹⁵⁵ cells/ml (et), 10¹⁵⁶ cells/ml (eu), 10¹⁵⁷ cells/ml (ev), 10¹⁵⁸ cells/ml (ew), 10¹⁵⁹ cells/ml (ex), 10¹⁶⁰ cells/ml (ey), 10¹⁶¹ cells/ml (ez), 10¹⁶² cells/ml (fa), 10¹⁶³ cells/ml (fb), 10¹⁶⁴ cells/ml (fc), 10¹⁶⁵ cells/ml (fd), 10¹⁶⁶ cells/ml (fe), 10¹⁶⁷ cells/ml (ff), 10¹⁶⁸ cells/ml (fg), 10¹⁶⁹ cells/ml (fh), 10¹⁷⁰ cells/ml (fi), 10¹⁷¹ cells/ml (fj), 10¹⁷² cells/ml (fk), 10¹⁷³ cells/ml (fl), 10¹⁷⁴ cells/ml (fm), 10¹⁷⁵ cells/ml (fn), 10¹⁷⁶ cells/ml (fo), 10¹⁷⁷ cells/ml (fp), 10¹⁷⁸ cells/ml (fq), 10¹⁷⁹ cells/ml (fr), 10¹⁸⁰ cells/ml (fs), 10¹⁸¹ cells/ml (ft), 10¹⁸² cells/ml (fu), 10¹⁸³ cells/ml (fv), 10¹⁸⁴ cells/ml (fw), 10¹⁸⁵ cells/ml (fx), 10¹⁸⁶ cells/ml (fy), 10¹⁸⁷ cells/ml (fz), 10¹⁸⁸ cells/ml (ga), 10¹⁸⁹ cells/ml (gb), 10¹⁹⁰ cells/ml (gc), 10¹⁹¹ cells/ml (gd), 10¹⁹² cells/ml (ge), 10¹⁹³ cells/ml (gf), 10¹⁹⁴ cells/ml (gg), 10¹⁹⁵ cells/ml (gh), 10¹⁹⁶ cells/ml (gi), 10¹⁹⁷ cells/ml (gj), 10¹⁹⁸ cells/ml (gk), 10¹⁹⁹ cells/ml (gl), 10²⁰⁰ cells/ml (gm), 10²⁰¹ cells/ml (gn), 10²⁰² cells/ml (go), 10²⁰³ cells/ml (gp), 10²⁰⁴ cells/ml (gq), 10²⁰⁵ cells/ml (gr), 10²⁰⁶ cells/ml (gs), 10²⁰⁷ cells/ml (gt), 10²⁰⁸ cells/ml (gu), 10²⁰⁹ cells/ml (gv), 10²¹⁰ cells/ml (gw), 10²¹¹ cells/ml (gx), 10²¹² cells/ml (gy), 10²¹³ cells/ml (gz), 10²¹⁴ cells/ml (ha), 10²¹⁵ cells/ml (hb), 10²¹⁶ cells/ml (hc), 10²¹⁷ cells/ml (hd), 10²¹⁸ cells/ml (he), 10²¹⁹ cells/ml (hf), 10²²⁰ cells/ml (hg), 10²²¹ cells/ml (hh), 10²²² cells/ml (hi), 10²²³ cells/ml (hj), 10²²⁴ cells/ml (hk), 10²²⁵ cells/ml (hl), 10²²⁶ cells/ml (hm), 10²²⁷ cells/ml (hn), 10²²⁸ cells/ml (ho), 10²²⁹ cells/ml (hp), 10²³⁰ cells/ml (hq), 10²³¹ cells/ml (hr), 10²³² cells/ml (hs), 10²³³ cells/ml (ht), 10²³⁴ cells/ml (hu), 10²³⁵ cells/ml (hv), 10²³⁶ cells/ml (hw), 10²³⁷ cells/ml (hx), 10²³⁸ cells/ml (hy), 10²³⁹ cells/ml (hz), 10²⁴⁰ cells/ml (ia), 10²⁴¹ cells/ml (ib), 10²⁴² cells/ml (ic), 10²⁴³ cells/ml (id), 10²⁴⁴ cells/ml (ie), 10²⁴⁵ cells/ml (if), 10²⁴⁶ cells/ml (ig), 10²⁴⁷ cells/ml (ih), 10²⁴⁸ cells/ml (ii),

Any fee due as a result of this paper,
not covered by an enclosed check may be
charged on Deposit Acct. No. 08-1634.

United States Patent & Trademark Office
Office of Initial Patent Examination -- Scanning Division



Application deficiencies were found during scanning:

☒ Page(s) 1 of the specification were not present
for scanning. (Document title)

☐ Page(s) _____ of _____ were not present
for scanning. (Document title)

☐ Scanned copy is best available.